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[68703/152]

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor(s) : Mark W. LEIBY et al.  
Serial No. : 10/084,293  
Filed : February 25, 2002  
For : PROCESS FOR COATING THREE-DIMENSIONAL  
SUBSTRATES WITH THIN ORGANIC FILMS AND  
PRODUCTS  
Examiner : To Be Assigned  
Art Unit : 1762

Commissioner for Patents  
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on

Date:

February 24, 2003

Signature:

*Clifford A. Ulrich*  
Clifford A. Ulrich (Reg. No. 42,194)

**PRELIMINARY AMENDMENT**

S I R:

Kindly amend the above-captioned application before examination as set forth below:

**IN THE CLAIMS:**

Please add the following new claims:

11. (New) A process for coating a substrate with an organic compound in a vacuum chamber, comprising the steps of:

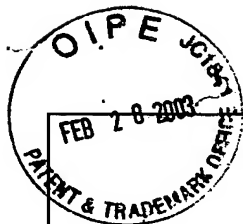
introducing a mixture of a liquid and the organic compound into the chamber via an ultrasonic nozzle in the form of micro-droplets so that the micro-droplets impact on the substrate to coat the substrate with the mixture; and evaporating the liquid from the coated substrate.

12. (New) The process according to claim 11, further comprising the step of controlling a pressure in the chamber to a controlled pressure during the introducing step.

13. (New) The process according to claim 12, wherein the liquid is volatile at the controlled pressure.

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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

**PRELIMINARY AMENDMENT  
TRANSMITTAL LETTER**

Docket Number  
**68703/152**

Application Number  
**10/084,293**

Filing Date  
**February 25, 2002**

Examiner  
**To be Assigned**

Art Unit  
**1762**

Invention Title  
**PROCESS FOR COATING THREE-  
DIMENSIONAL SUBSTRATES WITH THIN  
ORGANIC FILMS AND PRODUCTS**

Inventor(s)  
**LEIBY et al.**

Address to:  
Commissioner for Patents  
Washington D.C. 20231

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Dated:

February 24, 2003

Reg. No. 42,194

Signature:

*Clifford A. Ulrich*

Clifford A. Ulrich

Transmitted herewith is a Preliminary Amendment for entry in the above-identified application.

1. The filing fee has been calculated as shown below after entry of the accompanying Preliminary Amendment:

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT NUMBER EXTRA	RATE (\$) SMALL ENTITY	FEE (\$)
TOTAL CLAIMS	23	minus	20	3	9.00	27.00
INDEPENDENT CLAIMS	7	minus	4	3	42.00	126.00
MULTIPLE DEPENDENT CLAIM ADDED					280.00	
TOTAL						<b>\$153.00</b>

2. Please charge the required additional claims fee of **\$153.00** to the deposit account of **Kenyon & Kenyon**, deposit account number **11-0600**.

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3. The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to the deposit account of **Kenyon & Kenyon**, deposit account number **11-0600**:
- A. Any additional filing fees required under 37 C.F.R. § 1.16;
  - B. Any additional patent application processing fees under 37 C.F.R. § 1.17;
  - C. Any additional document supply fees under 37 C.F.R. § 1.19;
  - D. Any additional post-patent processing fees under 37 C.F.R. § 1.20; or
  - E. Any additional miscellaneous fees under 37 C.F.R. § 1.21.
4. A duplicate copy of this letter is enclosed.

Dated: February 24, 2003

By: 

Clifford A. Ulrich  
Reg. No. 42,194

One Broadway  
New York, New York 10004  
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**CUSTOMER NO. 26646**



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